

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: January 5, 2001

ATTY DOCKET NO.  
2000\_1309SERIAL NO.  
09/668,068APPLICANT  
Mitsuaki OSHIMA et al.FILING DATE  
September 25, 2000

GROUP

2634

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AS	AA	5,243,629	9-7-93	Wei	—	—	
	AB						RECEIVED DEC 11 2001 Technology Center 2600
	AC						
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AS	AJ	64-74836	3-20-89	Japan	—	—	abstract
↓	AK	53-108215	9-20-78	Japan	—	—	abstract
↓	AL	63-28145	2-5-88	Japan	—	—	abstract
↓	AM	64-68144	3-14-89	Japan	—	—	abstract
AS	AN	5-218978	8-27-93	Japan	—	—	X

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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Sheet 1 of 1

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
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Date Submitted to PTO: April 11, 2001

ATTY DOCKET NO.  
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Mitsuaki OSHIMA et al.FILING DATE  
September 25, 2000

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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AS	AA	5,565,926	10-15-96	Bryan et al.	—	—	
	AB						
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	AG						APR 12 2001
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AS	AJ	2-166979	6-27-90	Japan	—	—	abstract
	AK						
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OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
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EXAMINER	Amandeep			DATE CONSIDERED	08.20.02		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: September 24, 2001

ATTY DOCKET NO.  
2000\_1309SERIAL NO.  
09/668,068APPLICANT  
Mitsuaki OSHIMA et al.FILING DATE  
September 25, 2000GROUP  
2614

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AS	AJ	04-196822	7-16-92	Japan	—	—	abstract
AS	AK	0 506 400	9-30-92	Europe	—	—	X
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	AM						
	AN						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED

08.20.02

Sheet 1 of 6				ATTY DOCKET NO. 2000_1309		SERIAL NO. NEW	
FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				APPLICANT Mitsuaki OSHIMA et al.			
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				FILING DATE September 25, 2000		GROUP	
Date Submitted to PTO: September 25, 2000				U.S. PATENT DOCUMENTS			
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AS	AA	5,311,550	5-10-94	Fouche et al.	1	1	
	AB	4,630,287	12-16-86	Armstrong			
	AC	4,271,527	6-2-81	Armstrong			
	AD	5,050,188	9-17-91	Dirr			
	AE	5,398,073	3-14-95	Wei			
	AF	5,452,015	9-19-95	Hulyalkar			
	AG	5,565,932	10-15-96	Gitta et al.			
	AH	5,481,412	1-2-96	Bannai et al.			
	AI	3,909,721	9-30-75	Bussgang et al.	1	1	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	0365431	4-25-90	EP	1	1	X
	AK	0485105	5-13-92	EP			X
	AL	92/14343	8-20-92	WO			X
	AM	89/08366	9-8-89	WO			X
	AN	0031193	7-1-81	EP	1	1	X
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AO	Shinji Matsumoto et al., "200 Mb/s 16 QAM Digital Radio-Relay System Operating in 4 and 5 GHz Bands", Japan Telecommunications Review, January 1982, vol. 24, no. 1, pages 65-73.					
↓	AP	M. Pecot et al., "Compatible Coding of Television Images, Part 2. Compatible System", Signal Processing Image Communication, October 2, 1990, no. 3, pages 259-268.					
AS	AQ	Mitsuaki Oshima, "Constellation-Code Division Multiplex for Digital HDTV", IEEE, 1992, pages 1086-1092.					
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PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
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NEWLIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)APPLICANT  
Mitsuaki OSHIMA et al.

Date Submitted to PTO: September 25, 2000

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September 25, 2000

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AS	AA	4,227,152	10-7-80	Godard et al.			
	AB	4,525,846	6-25-85	Bremer et al.			
	AC	4,751,478	6-14-88	Yoshida			
	AD	4,891,806	1-2-90	Farias et al.			
	AE	4,903,125	2-20-90	Parker			
	AF	4,937,844	6-26-90	Kao			
	AG	5,164,963	11-17-92	Lawrence et al.			
	AH	5,555,275	9-1996	Oshima			
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	0311188	4-12-89	EP			X
	AK	0329158	8-23-89	EP			X
	AL	64-5135	1-10-89	JP			X
	AM	63-28145	2-5-88	JP			X
	AN	62-133842	6-17-87	JP			X

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	AO	Tricia Hill et al., "A Performance Study of NLA 64-State QAM", IEEE Transactions on Communications, vol. COM-31, June 1983, no. 6, pages 821-826.
	AP	Hideki Ishio et al., "A Proposal of a Carrier Digital Transmission System Using Multi-Level APSK", pages 1-20.
AS	AQ	Shanmugam, "Digital and Analog Communication Systems" 1979, p. 272.

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AS	AA	5,105,442	4-14-92	Wei			
	AB	4,048,572	9-13-77	Dogliotti et al.			
	AC	5,282,019	1-25-94	Basile et al.			
	AD	5,311,547	5-10-94	Wei			
	AE	5,267,021	11-30-93	Ramchandran et al.			
	AF	5,038,219	8-6-91	Yamashita et al.			
	AG	5,087,975	2-11-92	Citta et al.			
	AH	5,086,340	2-4-92	Citta et al.			
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	53-137657	12-1-78	JP			X
	AK	0282298	9-14-88	Europe			X
	AL	0392538	10-17-90	Europe			X
	AM	0490552	6-17-92	Europe			X
	AN	0506400A2	9-30-92	Europe			

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	AO	Khaled Fazel et al., Combined multilevel coding and multiresolution modulation, February 8, 1993, Pages 1081-1085
↓	AP	P. Hoeher et al., Performance of an RCPC-Coded OFDM-based Digital Audio Broadcasting (DAB) System, IEEE Global Telecommunications Conference "Globecom '91", December 2-5, 1991, Vol. 1 of 3, Pages 2.1.1 - 2.1.7
AS	AQ	K. M. Uz et al., Combined multiresolution source coding and modulation for digital broadcast of HDTV*, 1992, Pages 283-292

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AS	AA	5,142,353	8-25-92	Kageyama et al.	1	1	
↓	AB	5,197,061	3-23-93	Halbert-Lassalle et al.	1	1	
↓	AC	5,291,289	3-1-94	Hulyalkar et al.	1	1	
AS	AD	5,166,924	11-24-92	Moose	1	1	
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AS	AJ	0448492A1	9-25-91	Europe	1	1	
↓	AK	0485108A2	5-13-92	Europe	1	1	
↓	AL	0525641A2	2-3-93	Europe	1	1	
↓	AM	0540231A2	5-5-93	Europe	1	1	
AS	AN	0531046A2	3-10-93	Europe	1	1	

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AS	AO	K. M. Uz et al., Multiresolution Source and Channel Coding for Digital Broadcast of HDTV, 1992, Pages 61-69.
↓	AP	Nambi Seshadri et al., Multi-Level Block Coded Modulations with Unequal Error Protection for the Rayleigh Fading Channel, Vol. 4, No. 3, May-June 1993, Pages 325-334.
AS	AQ	William F. Schreiber, Spread-Spectrum Television Broadcasting, SMPTE Journal, August 1992, Pages 538-549.

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AS	AJ	85/04541	10-10-85	WO	-	/	
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	AM						
	AN						

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AS	AO	Martin Vetterli et al., Multiresolution Coding Techniques for Digital Television: A Review, Multidimensional Systems and Signal Processing, Volume 3, May 1992, Pages 161-187.
	AP	Ezio Biglieri et al., Introduction to Trellis-Coded Modulation with Applications, April 6, 1992, Pages 173-207.
AS	AQ	English Language Abstract of European Patent No. 93 30 7575

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AS	AA	4,636,876	1-13-87	Schwartz	1	1	
✓	AB	4,855,692	8-8-89	Kennard et al.	1	1	
✓	AC	4,873,701	10-10-89	Tretter			
✓	AD	4,958,360	9-18-90	Sari	1	1	
✓	AE	5,115,453	5-19-92	Calderbank et al.			
AS	AF	5,168,509	12-1-92	Nakamura et al.	1	1	
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	AH						
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AS	AJ	0122805	10-24-84	EP	1	1	X
AS	AK	63-180280	7-25-88	JP	1	1	abstract
	AL						
	AM						
	AN						

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AS	AO	Kazuhiko Nitadori, Synthesis of Multichannel Orthogonal VSB Signals by Quadrature Method, 1976 International Conference on Communications, June 14-16, 1976, Volume 1, Pages 3-25 - 3-29.
✓	AP	Thomas M. Cover, Broadcast Channels, IEEE Transactions on Information Theory, January 1972, Pages 2-14
✓	AQ	"Optimum Weighted PCM for Speech Signals", Sundberg, IEEE Transactions on Communications, Volume COM-26, No. 6, June 1978, Pages 872-881.

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